NHS North East London

Delegated Financial Limits

		ICB												Place						Finance						
		ICB Board	Executive Cttee	Finance Performance & Investment Cttee	Workforce & Remun Cttee	ICS Chief Exec	ICB Chief Finance and Perf Officer (CFPO)	ICB Chief Participation and Place Officer	ICB Chief Strategy and Transformation Officer	ICB Medical Officer	ICB Chief Nursing Officer	Chief People and Culture Officer	Director of Finance	VSM/B9 NEL wide programmes	ICB place sub-committee	Place Based Director	Local Director	Deputy Director	Service Delivery Senior Manager (8d)	Head of Service/ Assistant Director (Grade 8a-8c)	Deputy Director of Finance	Finance Team 8D	Finance Team 8C	Finance Team 8B	Finance Team 8A	Named ICB staff as per credit card policy.
1	**Allocation and control of funds**																									
1.1	Approval of Annual Budgets NEL ICB, and approval of delegation to place and collaboratives	unlimited																								
1.2	approval of single large contracts for NHS providers	unlimited within budget		up to £70m																						
1.3	Approval of business cases	unlimited within budget	£30m	£20m											£3m for delegated funding											
1.4	NEL contingency funding	unlimited within budget		£10m			£3m																			
1.5	NEL wide transformation funding and other additional funding	unlimited within budget		Unlimited - makes the decision on allocation of funding to places, and NEL wide											unlimited for delegated funding											
1.6	Virement of Budgets						unlimited within budget						£1m								£500k	oracle	oracle	oracle	oracle	
1.7	Journals						unlimited within budget															oracle	oracle	oracle	oracle	
2	**Procurement**																									
2.1	Accepting and approval of tender procurement process	unlimited within budget				Joint Authority of 2 postholders unlimited							£200k		unlimited for delgated budgets	up to £100k										
2.2	Single tender waivers						Unlimited																			
2.3	Signing of contracts/Service Level Agreements	unlimited within budget	unlimited within budget	unlimited within budget					£200k	£200k	£200k	£200k	£1m	up to £100k	unlimited for delgated budgets	up to £100k	up to £100k									
2.4	Signing of current contract variations in line with approved business cases	unlimited within budget		unlimited within budget		Joined authority of 2 postholders up to £3m in exceptional cases. Contracts should normally be approved by committee			£200k	£200k	£200k	£200k	£1m	up to £100k	unlimited for delgated budgets	up to £100k	up to £50k									
2.5	Contract extensions	unlimited within budget		unlimited within budget					£200k	£200k	£200k	£200k	£1m	up to £100k	unlimited for delgated budgets	up to £100k	up to £50k									
3	**Income and Expenditure** (with associated purchase orders and within given budget)																									
3.1	Section 65z5 / 65z6 agreements	unlimited within budget																								
3.2	Section 75 agreements	unlimited within budget													unlimited for delgated budgets											
3.3	Section 256 agreements	unlimited within budget		£10m																						
3.4	Section 106 Agreements	unlimited within budget		unlimited within budget		Joined authority of 2 postholders up to £10m							£1m													
3.5	Approval of invoices against agreed contracts						unlimited within budget	£3m	£1m	£1m	£1m	£1m	£70m	£100k		£2m	£100k	£70k	£30k	£15k	£75k	£50k	£15k	£15k	£5k	
3.6	NHS Commissioning - payments to providers in line with agreed contract values						unlimited within budget						£70m													
3.7	NCA Invoices / Low Value Commissioning Expenditure	unlimited within budget	unlimited within budget	unlimited within budget			unlimited within budget						£5m								£250k					
3.8	Sales orders						unlimited						unlimited								£5m	£1m	£500k	£250k		
3.9	Approval of Puchase Orders against agreed contracts						unlimited within budget	£3m	£2m	£2m	£2m	£2m	£10m	£100k		£2m	£150k	£75k	£50k	£15k	£100k					
3.10	Requisitions - no contract						unlimited within budget	£100k	£100k	£100k	£100k	£100k	£250k			£100k	£75k	£50k	£30k	£10k						
3.11	Non PO invoices						unlimited within budget	£3m	£1m	£1m	£1m	£1m	£70m	£100k		£2m	£100k	£70k	£30k	£15k	£100k	£50k	£15k			
3.12	Credit notes						unlimited within budget						£70m								£100k					
3.13	CHC invoices						unlimited within budget			£1m	£1m		£1m			£100k	£75k	£50k	£30k	£20k						
3.14	Approval of payments over SLA values						unlimited within budget																			
3.15	Covid Related Expenditure / Expenditure for reasons of extreme urgency	unlimited within budget	unlimited within budget				unlimited within budget						£10m													
4	**Capital Expenditure and Assets**																									
4.1	Capital allocation to other orgs within ICS	unlimited within budget	unlimited within budget	unlimited within budget																						
4.2	Capital Works Orders (ICB)	unlimited within budget	unlimited within budget	unlimited within budget																						

4.3	Capital Schemes Approval (NEL)	unlimited within budget	unlimited within budget	unlimited within budget																						
5	**Losses and Compensation (in conjunction with the Audit Committee)**																									
5.1	Losses due to theft, fraud and overpayment					unlimited within budget																				
5.2	Fruitless payments					unlimited within budget																				
5.3	Bad debts and claims abandoned					unlimited within budget																				
5.4	Compensation under legal obligation					unlimited within budget																				
5.5	Extra contractual payments to contractors					unlimited within budget																				
5.6	Ex-gratia payments: personal effects					unlimited within budget																				
5.7	Ex-gratia payments: litigation and compensation					unlimited within budget																				
5.8	Ex-gratia payments: clinical negligence					unlimited within budget							up to £1k													
5.9	Ex-gratia payments: personal injury claims					unlimited within budget																				
5.10	Ex-gratia payments: other					unlimited within budget																				
5.11	Write off: NHS debtors			unlimited within budget		£3m	£3m																			
5.12	Write off: non NHS debtors					unlimited after audit comm approval																transact on Oracle after approval				
6	**Banking Arrangements**																									
6.1	Corporate Credit Card approval limits					£10k	£10k	£5k	£5k	£5k	£5k	£5k	£5k													£2k
6.2	Monthly cash draw downs						unlimited						unlimited													
7	**HR and Workforce**																									
7.1	Compromise Agreements, COT3 Agreements and other types of agreements for termination or loss of office or employment (NHS England agreement must also be sought)- outside of contract				unlimited within budget	unlimited within budget																				
7.2	Workforce Approval Process				unlimited within budget																					
7.3	Overtime - relating to pay					unlimited within budget		£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget		£2k within place	£500 within budget	£250 within budget	£50 within budget							
7.4	Subsistence					unlimited within budget				line manager sign off within budget							line manager sign off within budget					line manager sign off within budget				
7.5	Travel					unlimited within budget				line manager sign off within budget							line manager sign off within budget					line manager sign off within budget				
7.6	Relocation packages					up ot £8k	up to 8k																			
7.7	Approval of Redundancy Payment				unlimited within budget																					

Notes on SORD

1 **This document relates specifically to financial limits. However, all staff should be aware that changes to service delivery models including but not limited to quality, operational performance and/or the balance of risk between the commissioner(s) and the provider(s) may be material regardless of the financial impact. These types of changes may require approval at a more senior level or by a committee so staff should refer to the relevant governance processes and policies.**

2 **All of the above revenue amounts are exclusive of VAT. VAT will be added to the approved values as appropriate.**

CHC team

Only applicable to named individuals - job titles as per LSS

	Exec Director	Head of MDT	Senior Manager	Accts Payable Manager	Invoice Clerk
CSU 1. CHC & FNC - approval of invoices	up to £100k	up to £50k	up to £50k	up to £15k	up to £5k

** invoices above £100k need to be signed off as per 3.13*