



North East London

Delegated Financial Limits

	ICB													Place						Finance					Named ICB staff as per credit card policy.		
	ICB Board	Executive Cttee	Finance Performance & Investment Cttee	Workforce & Remun Cttee	ICS Chief Exec	ICB Chief Finance and Perf Officer (CFPO)	ICB Chief Participation and Place Officer	ICB Chief Strategy and Transformation Officer	ICB Medical Officer	ICB Chief Nursing Officer	Chief People and Culture Officer	Director of Finance	VSM/B9 NEL wide programmes	ICB place sub-committee	Place Based Director	Local Director	Deputy Director	Service Delivery Senior Manager (8d)	Head of Service/ Assistant Director (Grade 8a-8c)	Deputy Director of Finance	Finance Team 8D	Finance Team 8C	Finance Team 8B	Finance Team 8A			
1 Allocation and control of funds																											
1.1	Approval of Annual Budgets NEL ICB, and approval of delegation to place and collaboratives																										
1.1	unlimited within budget		up to £70m																								
1.2	approval of single large contracts for NHS providers																										
1.2	unlimited within budget	£30m	£20m		£1m									£3m for delegated funding													
1.3	Approval of business cases																										
1.3	unlimited within budget																										
1.4	NEL contingency funding																										
1.4	unlimited within budget		£10m		£3m																						
1.5	NEL wide transformation funding and other additional funding																										
1.5	unlimited within budget		Unlimited - makes the decision on allocation of funding to places, and NEL wide		£1m									unlimited for delegated funding													
1.6	Virement of Budgets																										
1.6					unlimited within budget						£1m									£500k	oracle	oracle	oracle	oracle			
1.7	Journals																										
1.7					unlimited within budget																oracle	oracle	oracle	oracle			
2 Procurement																											
2.1	Accepting Tender/quote limits - ITT issuing approvals																										
2.1	unlimited within budget				Individual Authority <£200k Joint Authority of 2 postholders <£3m						£100k		unlimited for delegated budgets	up to £100k													
2.2	Single tender waivers																										
2.2	unlimited within budget		unlimited within budget		Joined authority of 2 postholders up to £3m						£1m			up to £100k													
2.3	Signing of contracts/Service Level Agreements																										
2.3	unlimited within budget	unlimited within budget			Joined authority of 2 postholders up to £3m						£200k	£200k	£200k	£200k	£100k	up to £100k	unlimited for delegated budgets	up to £100k	up to £100k								
2.4	Signing of current contract variations in line with approved business cases																										
2.4	unlimited within budget				Joined authority of 2 postholders up to £3m						£200k	£200k	£200k	£200k	£200k	up to £100k	unlimited for delegated budgets	up to £100k	up to £50k								
2.5	Contract extensions																										
2.5	unlimited within budget				Joined authority of 2 postholders up to £3m						£200k	£200k	£200k	£200k	£200k	up to £100k	unlimited for delegated budgets	up to £100k	up to £50k								
3 Income and Expenditure (with associated purchase orders and within given budget)																											
3.1	Section 65z5 / 65z6 agreements																										
3.1	unlimited within budget																										
3.2	Section 75 agreements																										
3.2	unlimited within budget																unlimited for delegated budgets										
3.3	Section 256 agreements																										
3.3	unlimited within budget	£10m																									
3.4	Section 106 Agreements																										
3.4	unlimited within budget	unlimited within budget			Joined authority of 2 postholders up to £10m						£1m																
3.5	Approval of invoices against agreed contracts																										
3.5					unlimited within budget	£3m	£1m	£1m	£1m	£1m	£70m	£100k			£2m	£100k	£70k	£30k	£15k	£75k	£50k	£15k	£15k	£5k			
3.6	NHS Commissioning - payments to providers in line with agreed contract values																										
3.6					unlimited within budget						£70m																
3.7	NCA Invoices / Low Value Commissioning Expenditure																										
3.7	unlimited within budget	unlimited within budget	unlimited within budget		unlimited within budget						£5m									£250k							
3.8	Sales orders																										
3.8					unlimited						unlimited									£5m	£1m	£500k	£250k				
3.9	Approval of Purchase Orders against agreed contracts																										
3.9					unlimited within budget	£3m	£2m	£2m	£2m	£2m	£10m	£100k			£2m	£150k	£75k	£50k	£15k	£100k							
3.10	Requisitions - no contract																										
3.10					unlimited within budget	£100k	£100k	£100k	£100k	£100k	£250k				£100k	£75k	£50k	£30k	£10k								
3.11	Non PO invoices																										
3.11					unlimited within budget	£3m	£1m	£1m	£1m	£1m	£70m	£100k			£2m	£100k	£70k	£30k	£15k	£100k	£50k	£15k					
3.12	Credit notes																										
3.12					unlimited within budget						£70m									£100k							
3.13	CHC invoices																										
3.13					unlimited within budget	£200k	£200k	£200k	£200k	£200k	£200k				£100k	£75k	£50k	£30k	£20k								
3.14	Approval of payments over SLA values																										
3.14					unlimited within budget																						
3.15	Covid Related Expenditure / Expenditure for reasons of extreme urgency																										
3.15	unlimited within budget	unlimited within budget			unlimited within budget						£10m																
4 Capital Expenditure and Assets																											

4.1 Capital allocation to other orgs within ICS Capital Works Orders (ICB)	unlimited within budget	unlimited within budget	unlimited within budget																	
4.2 Capital Schemes Approval (NEL)	unlimited within budget	unlimited within budget	unlimited within budget																	
4.3 Losses and Compensation (in conjunction with the Audit Committee)	unlimited within budget	unlimited within budget	unlimited within budget																	
5.1 Losses due to theft, fraud and overpayment			unlimited within budget																	
5.2 Fruitless payments			unlimited within budget																	
5.3 Bad debts and claims abandoned			unlimited within budget																	
5.4 Compensation under legal obligation			unlimited within budget																	
5.5 Extra contractual payments to contractors			unlimited within budget																	
5.6 Ex-gratia payments: personal effects			unlimited within budget																	
5.7 Ex-gratia payments: litigation and compensation			unlimited within budget																	
5.8 Ex-gratia payments: clinical negligence			unlimited within budget																	
5.9 Ex-gratia payments: personal injury claims			unlimited within budget																	
5.10 Ex-gratia payments: other Write off: NHS debtors		unlimited within budget	£3m	£3m																
5.11 Write off: non NHS debtors			unlimited after audit comm approval																	
5.12																				transact on Oracle after approval
6 Banking Arrangements																				
6.1 Corporate Credit Card approval limits			£10k	£10k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k	£5k
6.2 Monthly cash draw downs				unlimited																
7 HR and Workforce																				
Compromise Agreements, COT3 Agreements and other types of agreements for termination or loss of office or employment (NHS England agreement must also be sought)			unlimited within budget	unlimited within budget																
7.1 outside of contract Workforce Approval Process			unlimited within budget																	
7.2 Overtime - relating to pay			unlimited within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget	£2k within budget
7.3 Subsistence			unlimited within budget																	
7.4 Travel			unlimited within budget																	
7.5 Relocation packages			up to £8k	up to 8k																
7.6 Approval of Redundancy Payment			unlimited within budget																	
7.7																				

Notes on SORD

- This document relates specifically to financial limits. However, all staff should be aware that changes to service delivery models including but not limited to quality, operational performance and/or the balance of risk between the commissioner(s) and the provider(s) may be material regardless of the financial impact. These types of changes may require approval at a more senior level or by a committee so staff should refer to the relevant governance processes and policies.
- All of the above revenue amounts are exclusive of VAT. VAT will be added to the approved values as appropriate.

CHC team

Only applicable to named individuals - job titles as per LSS

	Exec Director	Head of MDT	Senior Manager	Accts Payable Manager	Invoice Clerk
CSU 1. CHC & FNC - approval of invoices	up to £100k	up to £50k	up to £50k	up to £15k	up to £5k

* invoices above £100k need to be signed off as per 3.13